The Effects of the First- and Second-Row Substituents on the Structures and Energies of PH_4X Phosphoranes. An ab Initio Study

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Abstract: Pentacoordinated phosphoranes, PH₄X, substituted with a full range of first- and second-row groups have been studied with ab initio computations. The apically and equatorially substituted trigonal-bipyramidal (TB) and apically substituted square-pyramidal (SP) conformations were fully optimized with the 3-21G(*) and 6-31G* basis sets. Frequency calculations were performed at 6-31G* on the optimized structures. Correlation corrections through the MP4 level were carried out on these 6-31G* structures. Energies calculated with zero-point energy corrections, i.e., at MP4SDTQ/6-31G* + ZPE, provide relative energies of various isomers. The apically substituted SP structures for PH₄X (X = Li, Na, BeH, and MgH) are the most stable. The intrinsic apicophilicities of the first- and second-row groups are derived and are compared to available experimental data. The apicophilicities (in kcal mol⁻¹) are OH (0.4) > SH (-0.1) > CH₃ (-0.9) > PH₂ (-3.3) > NH₂ (-7.2) > SiH₃ (-8.6). Due to their high degree of ion pair character, PH₄F and PH₄Cl are unsuitable as models for relative energy comparisons. π interaction energies were evaluated by calculating various PH₄X (X = NH₂, PH₂, OH, SH) conformations. Natural bond orbital (NBO) analysis on these conformers shows that the π interaction is due chiefly to $n_x \rightarrow \sigma_{p-H^*}$. Inductive and π bonding contributions are estimated for the first-row group substituents. The effects of substitution (e.g., relative energies) that are dominated by inductive interactions correlate linearly with group electronegativities.

Introduction

The last two decades have seen a rapid development of the stereochemistry of phosphorus compounds.¹ Pentacoordinated models have been employed to help interpret a wide variety of reaction mechanisms including phosphate ester hydrolysis, the Wittig reaction, and biologically important phosphoryl transfer reactions.² Pentacoordinated phosphorus compounds have two basic structures: trigonal-bipyramid (TP) and square-pyramid (SP). Because of the stereochemical nonrigidity, ligand exchange is common in five-coordinated phosphoranes. In 1960, Berry proposed that this stereomutation takes place via a $D_{3h}-C_{2v}-C_{4v}$ (TP-TP-SP) pathway.³ Although largely inferential, this mechanism has been generally accepted and is employed to account for rearrangements in pentacoordinated phosphoranes. C_{2v} pathways or C_{4v} transition states have been demonstrated to be general. An alternative "turnstile" mechanism has also been proposed to explain intramolecular rearrangements.4-6 The topological equivalence of these two processes in some systems has been pointed out.7

Many studies of the bonding, geometries, and relative energies of various isomers of the two basic structures have been published. Theoretical investigations have employed the directed valence approach,⁸ the valence electron-pair repulsion model,⁹ a threecenter four-electron bonding scheme,¹⁰ EHT analysis, and, more recently, additional semiempirical and ab initio calculations.¹²⁻²⁰ Dieters and Holmes have recently contributed an extensive series of substituted phosphoranes, but the computations were constrained to idealized TP and SP structures.²⁰

Generally, the relative energies of apical vs equatorial isomers are believed to be controlled by the substituent electronegativities,²¹ π -bonding,¹¹ steric interactions, and ring strain.²² By using experimental data based on temperature-dependent NMR spectroscopy, Holmes has developed an empirical apicophilicity scale.23 Some monosubstituted model phosphoranes have also been ex-amined by computation.²⁴ However, as pointed out by Mag-nusson,²⁵ the relative energies of singly substituted phosphoranes vary considerably with different basis sets. The use of basis sets at least as large as 6-31G supplemented with d functions (6-31G*) is essential to obtain reliable relative energies.

Nitrogen, oxygen, and halogen ligands are commonly used for substituent studies. Phosphoranes substituted by electropositive

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Table I. Geometries and Relative Energies of PH₅ Isomers^a

| | TP(| D _{3h}) | $SP(C_{4v})$ | | | | |
|-------------------------------------|------------------|-------------------|------------------|------------------|--------------------------------|-----------|---------|
| | P-H _e | P-H _a | P-H _b | P-H _a | H _e PH _a | E_1^{b} | E_2^c |
| 3-21G | 1.425 | 1.541 | 1.489 | 1.402 | 99.53 | -2.2 | 81.1 |
| 3-21G* | 1.405 | 1.465 | 1.440 | 1.387 | 100.22 | -2.6 | 46.1 |
| 6-31G | 1.431 | 1.541 | 1.440 | 1.407 | 100.00 | -2.6 | 82.1 |
| 6-31G* | 1.407 | 1.464 | 1.440 | 1.388 | 100.50 | -2.8 | 47.1 |
| 6-31G**//6-31G* | | | | | | -2.9 | |
| 6-31G(2d,p)//6-31G* | | | | | | -2.8 | |
| 6-31G(2df,p)//6-31G* | | | | | | -3.0 | |
| MP2/6-31G*//6-31G* | | | | | | -2.0 | 49.2 |
| MP3/6-31G*//6-31G* | | | | | | -1.8 | 50.6 |
| MP4/6-31G*//6-31G* | | | | | | -1.6 | 51.9 |
| MP4/6-31G*//6-31G*+ZPE ^d | | | | | | -1.1 | 58.0 |
| full ĆI ^e | | | | | | -1.9 | |
| CY | | | | | | -1.2 | |

^aBond lengths in Å, bond angles in deg, and relative energies in kcal mol⁻¹. ${}^{b}E_{1} = E(TP) - E(SP)$. ${}^{c}E_{2} = E(TP) - E(PH_{3} + H_{2})$. The dissociation barrier of PH₅ to give PH₃ + H₂ is 36.0 kcal mol⁻¹ at CI level. 6b d Zero-point energies were calculated at 6-31G* and scaled by 0.89. ^eReference 6b. ^fReference 6a.

| Table II. E | Energies ^a | of the | First-Row | Element-S | Substituted | Phosphoranes |
|-------------|-----------------------|--------|-----------|-----------|-------------|--------------|
|-------------|-----------------------|--------|-----------|-----------|-------------|--------------|

| | | | 3-21G(*) | 6-31G* | MP2/6-31G* | MP3/6-31G* | MP4/6-31G* | apri | |
|-----------------|------------------|-----------------|------------|------------|------------|------------|------------|-------|----------------|
| X | no. | sym | //3-31G(*) | //6-316* | //6-316* | //6-31G* | //6-316* | ZPE | n ^c |
| н | 1a | D_{3h} | 341.86342 | 343.49988 | 343.61716 | 343.64016 | 343.64586 | 29.10 | 0 |
| | 1 S | C_{4v} | 341.85928 | 343.495 36 | 343.61401 | 343.637 34 | 343.64324 | 28.59 | 1 |
| Li | 3a | C_{3v} | 348.63211 | 350.317.05 | 350.449 63 | 350.47404 | 350.48081 | 20.17 | 2 |
| | 3e ^d | C_{2v} | 348.678 82 | 350.36412 | 350.48987 | 350.512 26 | 350.520 32 | 21.04 | 1 |
| | 3 s | C_{4c} | 348.686 40 | 350.37942 | 350.497 99 | 350.520 57 | 350.528 57 | 21.69 | 0 |
| BeH | 4 a | C_{3v} | 356.36642 | 358.091 57 | 358.240 81 | 358.269 54 | 358.27967 | 26.42 | 0 |
| | 4e ^d | C_{2v} | 356.40305 | 358.12683 | 358.274 92 | 358.30299 | 358.31258 | 27.56 | 1 |
| | 4 s | C_{4v} | 356.408 34 | 358.13105 | 358.280 32 | 358.308 52 | 358.31816 | 27.95 | 0 |
| BH ₂ | 5a⊥ | C_s | 366.93956 | 368.72479 | 368.91196 | 368.945 37 | 368.95686 | 35.38 | 0 |
| | 5e | C_{2v} | 366.96579 | 368.74919 | 368.93478 | 368.967 57 | 368.97882 | 36.26 | 1 |
| | 5 s″ | C_{2v} | 366.96017 | 368.74242 | 368.92699 | 368.96218 | 368.973 47 | 36.37 | 1 |
| | 5a _{ii} | C_s | 366.939 33 | 368.72481 | 369.91196 | 368.945 35 | 368.95683 | 34.40 | 1 |
| | 5e⊥ | C_{2v} | 366.95387 | 368.73797 | 368.92379 | 368.95693 | 368.96815 | 36.81 | 1 |
| CH3 | 6a | C_{3v} | 380.697 05 | 382.544 49 | 382.794 31 | 382.82637 | 382.83976 | 48.66 | 0 |
| | 6e | C_s | 380.69980 | 382.547 21 | 382.796 25 | 382.828 34 | 382.841 60 | 48.95 | 0 |
| | 6 s | Ċ, | 380.693 59 | 382.54013 | 382.788 44 | 382.821 09 | 382.834 25 | 48.36 | 2 |
| NH_2 | 7 a ′ | C_s | 396.622 90 | 398.555 47 | 398.837 47 | 398.863 54 | 398.876 27 | 42.27 | 0 |
| - | 7e⊥ | C_{2v} | 396.64375 | 398.56762 | 398.85108 | 398.87602 | 398.88908 | 43.04 | 0 |
| | 7s ⁻ | $C_{2\nu}$ | 396.60577 | е | | | | | |
| | 7 a _ | C_s | 396.623 32 | 398.55272 | 398.83438 | 398.860 22 | 398.87266 | 41.31 | 2 |
| | 7e | C_{2v} | 396.601 14 | 398.528 39 | 398.807 70 | 398.83423 | 398.84635 | 40.67 | 2 |
| | 7e ⁷ | C_s | 396.602.08 | 398.537 27 | 398.81865 | 398.84517 | 398.85806 | 41.79 | 1 |
| ОН | 8a | C_s | 416.363 70 | 418.40714 | 418.70448 | 418.723 95 | 418.73673 | 34.56 | 0 |
| | 8e⊥ | Ċ, | 416.367 47 | 418.404 50 | 418.70489 | 418.72304 | 418.73721 | 35.24 | 0 |
| | 8s | Ċ, | 416.33282 | 418.36975 | 418.662 51 | 418.68277 | 418.69570 | 33.59 | 1 |
| | 8e | C_s | 416.347 38 | 418.38594 | 418.683 57 | 418.70271 | 418.71608 | 33.30 | 2 |
| F | 9a | C_{3v} | 440.268 12 | 442.430 20 | 442.71844 | 442.73397 | 442.747 38 | 27.17 | 0 |
| | 9e | C_{2v} | 440.25599 | 442.41264 | 442.70441 | 442.718 56 | 442.73374 | 26.66 | 1 |
| | 9s | C _{4v} | 440.224 59 | 442.377 44 | 442.662.52 | 442.678 15 | 442.692 52 | 26.19 | 1 |

^a Absolute energies in -au. ^b Zero point energies (kcal mol⁻¹) were calculated at 6-31G*. ^c Number of imaginary frequencies. ^d Partially optimized; see text. Converted to $7e_{\perp}$.

groups are difficult to investigate experimentally. The entire set of first- and second-row substituents can only be studied in a systematic way by computations.²⁶ Moreover, there are few theoretical results on SP structures. The calculational results in this paper provide insight into the nature of substitution effects of first- and second-row groups on phosphoranes in both TP and SP conformations. In addition, we provide a large body of fundamental structure and energy data to facilitate further theoretical and experimental investigations.

Methods

Standard single-determinant spin-restricted Hartree-Fock calculations were performed with the GAUSSIAN 80²⁷ and GAUSSIAN 82²⁸ programs.

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Optimizations with Schlegel's gradient technique²⁹ were carried out under the constraints of the point group specified; further constraints were imposed in some cases. All calculations were carried out with standard 3-21G(*)³⁰ and 6-31G*³¹ basis sets. Harmonic vibrational frequencies were calculated at 6-31G*, and zero-point energies (ZPE) were scaled by 0.89.32 Full fourth-order Møller-Plesset (MP4)33 correlation cor-

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Table III. Energies^a of the Second-Row Element-Substituted Phosphoranes

| | | | 3-21G(*) | 6-31G* | MP2/6-31G* | MP3/6-31G* | MP4/6-31G* | | |
|------------------|-------------------|------------|------------|--------------------|-------------|------------|------------|------------------|----------------|
| х | no. | sym | //3-31G(*) | //6-31G* | //6-31G* | //6-31G* | //6-31G* | ZPE ^b | n ^c |
| Na | 11a | C_{3v} | 502.098 79 | 504.71837 | 504.85068 | 504.875 72 | 504.886 39 | 21.30 | 0 |
| | 11e ^d | C_{2v} | 502.14115 | 504.76067 | 504.88717 | 504.90912 | 504.917 55 | 20.54 | 1 |
| | 11s | C_{4v} | 502.14706 | 504.765 38 | 504.893 04 | 504.91533 | 504.923 40 | 21.09 | 0 |
| MgH | 12a | C_{3v} | 540.30481 | 543.047 69 | 543.19475 | 543.223 40 | 543.23408 | 25.14 | 0 |
| - | 12e ^d | C_{2v} | 540.34410 | 543.08545 | 543.230 55 | 543.25794 | 543.26773 | 25.18 | 1 |
| | 12s | C_{4v} | 540.35092 | 543.09081 | 543.23713 | 543.264 60 | 543.274 36 | 25.60 | 0 |
| AlH_2 | 13a ₁₁ | C_s | 583.043 30 | 585.947 9 4 | 586.11432 | 586.14701 | 586.15870 | 30.35 | 1 |
| - | 13e | C_{2v} | 583.07978 | 585.981 29 | 586.147.02 | 586.17898 | 586.19012 | 31.07 | 0 |
| | 13s | C_{2v} | 583.079 53 | 585.98098 | 586.147 22 | 586.179 23 | 586.19040 | 35.10 | 1 |
| SiH ₃ | 14a | C_{3v} | 630.49089 | 633.564 24 | +633.760 50 | 633.797 21 | 633.810 24 | 39.50 | 0 |
| - | 14e | C_s | 630.50976 | 633.58167 | 633.776 39 | 633.81268 | 633.82541 | 40.38 | 0 |
| | 14s | Ċ, | 630.509 78 | 633.580 53 | 633.77613 | 633.81258 | 633.825 39 | 39.86 | 2 |
| PH, | 15a' | Ċ, | 681.538 55 | 684.80361 | 685.02144 | 685.05800 | 685.07117 | 36.02 | 0 |
| - | 15e'' | C_s | 681.543 29 | 684.80885 | 685.027 56 | 685.06393 | 685.077 34 | 36.64 | 0 |
| | 15s | C_{2v} | 681.47576 | е | | | | | |
| | 15a | C_s | 681.503 51 | 684.771 33 | 684.98487 | 685.021 20 | 685.03344 | 35.32 | 1 |
| | 15e | C_{2v} | 681.46969 | 684.73696 | 684.95984 | 684.99674 | 685.00995 | 34.84 | 3 |
| | 15e ⁷ | C_s^{-} | 681.535 27 | 684.80079 | 685.01986 | 685.05676 | 685.07031 | 36.20 | 1 |
| | 15e | $C_{2\nu}$ | 681.50712 | 684.773 36 | 684.99606 | 685.031 33 | 685.044 58 | 36.37 | 1 |
| SH | 16a | C_s^{-} | 737.568 51 | 741.047 50 | 741.279 33 | 741.31284 | 741.324 45 | 31.02 | 0 |
| | 16e | Ċ, | 737.56361 | 741.04278 | 741.27982 | 741.31303 | 741.32561 | 31.72 | 0 |
| | 16s | C_s | 737.53849 | 7 4 1.01671 | 741.25104 | 741.285 34 | 741.297 53 | 30.29 | 1 |
| | 16e ₁ | C_s | 737.54543 | 741.02516 | 741.26269 | 741.296 64 | 741.30914 | 30.24 | 2 |
| Cl | 17a | C_{3a} | 798.76240 | 802.472 57 | 802.708 25 | 802.73848 | 802.74794 | 25.95 | 0 |
| | 17e | C_{2v} | 798.738 52 | 802.45248 | 802.684 54 | 802.71541 | 802.72479 | 24.77 | 1 |
| | 17s | C_{4v} | 798.705 99 | 802.415 54 | 802.65805 | 802.688 64 | 802.69913 | 24.99 | 1 |

^{*a*} Absolute energies in -au. ^{*b*} Zero point energies (kcal mol⁻¹) were calculated at 6-31G^{*}. ^{*c*} Number of imaginary frequencies. ^{*d*} Partially optimized; see text. ^{*c*} Did not converge.

Table IV. Relative Energies^a of the First-Row Element-Substituted Phosphoranes

| x | no. | sym | 3-21G(*) //3-31G(*) | 6-31G* //6-31G* | MP2/6-31G* //6-31G* | MP3/6-31G* //6-31G* | MP4/6-31G* //6-31G* | MP4/6-31G* //6-31G*+ZPE ^b |
|--------|--------------------------|-----------|------------------------|--------------------|------------------------|------------------------|------------------------|---|
| Li | 3a | C_{3v} | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| | 3e | C_{2v} | -29.3 | -29.5 | -25.2 | -24.0 | -24.8 | -24.7 |
| | 3s | C_{4n} | -34.1 | -33.5 | -30.3 | -29.2 | -30.0 | -28.4 |
| BeH | 4 a | C_{3v} | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| | 4e | C_{2v} | -23.0 | -22.1 | -21.4 | -21.0 | -20.6 | -19.8 |
| | 4s | C_{4v} | -26.3 | -24.8 | -24.8 | -24.5 | -24.2 | -22.8 |
| BH, | 5a | C_s | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| - | 5e | C_s | -16.4 | -15.3 | -14.3 | -13.9 | -13.8 | -13.0 |
| | 5s | C_{2v} | -13.1 | -11.0 | -9.4 | -10.5 | -10.4 | -9.5 |
| | 5a | C_s^{-} | 0.1 | -0.0 | 0.0 | 0.0 | 0.0 | -0.9 |
| | 5e⊥ | C_{2v} | -9.0 | -8.3 | -7.4 | -7.2 | -7.1 | -5.8 |
| CH3 | 6a - | C_{3v} | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| 2 | 6e | C_s | -1.7 | -1.7 | -1.2 | -1.2 | -1.2 | -0.9 |
| | 6s | C_s | 2.2 | 2.7 | 3.7 | 3.3 | 3.4 | 3.2 |
| NH_2 | 7 a ′ | C_s | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| - | 7e⊥ | C_{2v} | -13.1 | -7.6 | -8.5 | -7.8 | -8.0 | -7.2 |
| | 7s ⁻ | C_{2v} | 10.7 | | | | | |
| | 7 a ₁₁ | C_s | -0.3 | 1.7 | 1.9 | 2.1 | 2.3 | 1.3 |
| | 7e | C_{2v} | 13.6 | 17.0 | 18.7 | 18.4 | 18.8 | 17.4 |
| | 7e' | C_s | 13.1 | 11.4 | 11.8 | 11.5 | 11.4 | 10.9 |
| ОН | 8a | C_s | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| | 8e⊥ | C_s | -2.2 | 1.7 | -0.2 | 0.6 | -0.3 | 0.4 |
| | 8s | C_s | 19.3 | 23.5 | 26.3 | 25.8 | 25.7 | 24.8 |
| | 8e | Ċ, | 10.2 | 13.3 | 13.1 | 13.3 | 12.7 | 11.6 |
| F | 9a | C_{3v} | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| | 9e | C_{2v} | 7.6 | 11.0 | 8.8 | 9.7 | 8.6 | 8.0 |
| | 9s | C_{4v} | 27.3 | 33.1 | 35.1 | 35.0 | 34.4 | 33.5 |

^aRelative energies are in keal mol⁻¹. ^bZero point energies were calculated at 6-31G* and scaled by 0.89.

rections were computed on the $6-31G^*$ geometries. Combined with the ZPE, our highest level is MP4SDTQ/ $6-31G^*//6-31G^*+ZPE$. These energies will be used in the following discussions. Tables 11 and 111 list the absolute energies at various basis sets for the first- and second-row groups: Tables IV and V list the corresponding relative energies. Geometries around phosphorus are summarized in Tables V11, V111, and IX. Further details are available from the senior authors.

Natural bond orbital (NBO) analysis, a method for representing ab initio wave functions in terms of localized Lewis structures, has been employed, inter alia, to study hypervalence and hyperconjugation.³⁴ This method provides a quantitative analytical framework for the interpretation of MO interactions. Thus, hyperconjugation can be equated with the electron delocalization from bond or lone pair NBOs into antibond NBOs (e.g., $n \rightarrow \sigma^*$, $n \rightarrow \pi^*$).³⁵ The magnitude of the energy associated with such delocalization can be evaluated by deleting the antibonding orbitals from the Fock matrix and recalculating the total energy to determine the associated variational energy change. The total energy is decomposed into covalent $E_{\sigma\sigma}$ and noncovalent $E_{\sigma\sigma}$ contributions. $E_{\sigma\sigma}$

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Table V. Relative Energies^a of the Second-Row Element-Substituted Phosphoranes

| X | no. | sym | 3-21G(*) //3-31G(*) | 6-31G* //6-31G* | MP2/6-31G* //6-31G* | MP3/6-31G* //6-31G* | MP4/6-31G* //6-31G* | MP4/6-31G* //6-31G*+ZPE ^b |
|------------------|-------------------|-----------------|------------------------|--------------------|------------------------|------------------------|------------------------|---|
| Na | 11a | <u> </u> | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| | 11e | C_{2} | -26.6 | -26.5 | -22.9 | -21.0 | -19.6 | -20.4 |
| | 11s | C | -30.3 | -29.5 | -26.6 | -24.9 | -23.2 | -23.4 |
| MgH | 12a | C_{1v} | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| U | 12e | $C_{2n}^{3\nu}$ | -24.6 | -23.7 | -22.5 | -21.7 | -21.1 | -21.1 |
| | 12s | C_{4n}^{2n} | -28.9 | -27.1 | -26.6 | -25.9 | -25.3 | -24.8 |
| AlH ₂ | 13a | С, | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| - | 13e | C_s | -22.9 | -20.9 | -20.5 | -20.1 | -19.7 | -19.0 |
| | 13s | C_{2v} | -22.7 | -20.7 | -20.6 | -20.2 | -19.9 | -15.7 |
| SiH3 | 14a | C_{3v} | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| | 14e | C_s | -11.7 | -10.9 | -10.0 | -9.7 | -9.5 | -8.6 |
| | 14s | C_s | -11.8 | -10.2 | -9.8 | -9.6 | -9.5 | -9.1 |
| PH₂ | 15a' | C_s | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| | 15e″ | C _s | -3.0 | -3.3 | -3.8 | -3.7 | -3.9 | -3.3 |
| | 15s | C_{2v} | 39.4 | | | | | |
| | 15a ₀ | C, | 22.0 | 20.2 | 22.9 | 23.1 | 23.7 | 23.1 |
| | 15e | C_{2v} | 43.2 | 41.8 | 38.6 | 38.4 | 38.4 | 37.3 |
| | 15e' | C_s | 2.0 | 1.8 | 1.0 | 0.8 | 0.5 | 0.7 |
| | $15e_{\perp}$ | C_{2v} | 19.7 | 19.0 | 15.9 | 16.7 | 16.7 | 17.0 |
| SH | 16a | C _s | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| | $16e_{\perp}$ | C_s | 3.1 | 3.0 | -0.3 | -0.1 | -0.7 | -0.1 |
| | 16s | C_s | 18.8 | 19.3 | 17.8 | 17.2 | 16.9 | 16.2 |
| | 16e ₁₁ | C, | 14.5 | 14.0 | 10.4 | 10.2 | 9.6 | 8.8 |
| Cl | 17a | C_{3v} | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| | 17e | C_{2v} | 15.0 | 12.6 | 14.9 | 14.8 | 14.5 | 13.4 |
| | 17s | C_{4v} | 35.4 | 35.8 | 31.5 | 31.3 | 30.6 | 29.7 |

^aRelative energies are in kcal mol^{-. b}Zero point energies were calculated at 6-31G* and scaled by 0.89.

can be calculated from second-order perturbation theory, where F is the Fock operator and ϵ_{a} and ϵ_{a} , are NBO orbital energies.

$$E_{\sigma\sigma^{\bullet}} = -2 \frac{(\sigma|F|\sigma^{*})^{2}}{\epsilon_{\sigma^{\bullet}} - \epsilon_{\sigma}}$$

We will use NBO analysis to examine the role of d orbitals in PH₅ and the π effects of some substituents. The conclusions quantify concepts established by previous workers. Table VI lists the natural charges of the PH₄X species. These are based on improved natural (localized) orbitals and overcome the large basis set sensitivity that mars Mulliken population analysis.

In this study, we consider these fundamental structural types: the apically substituted TP structure **a**, the equatorially substituted TP structure **e**, and the apically substituted SP structure s. The combination of the atomic number with these symbols represents the corresponding substituted conformation (for example, **3a**, **3e**, **3s** for PH₄Li). For some substituents, other conformations also were investigated, for example, to examine π -bonding effects.



X = 3, Li; 4, BeH; 5, BH₂; 6, CH₃; 7, NH₂; 8, OH; 9, F; 11, Na; 12, MgH; 13, AlH₃; 14, SiH₃; 15, PH₂; 16, SH; 17, Cl

"Apicophilicity" is a convenient measure of the relative energies of isomers. According to Holmes' recent definition,²⁰ the apicophilicity A is defined as $A = E_{(e)} - E_{(a)}$. Apicophilic ligands have positive and apicophobic ligands have negative A values. Similarly, the $E_{(s)} - E_{(a)}$ relative energies characterize the perferences for SP structures. In Tables IV and V, the apicophilicity values are given as the relative energy of the e conformation in the second line for each substituent subgroup. $E_{(s)} - E_{(a)}$ relative energies are given in the third line of the entry for each substituent.

Results and Discussion

 PH_5 . The trigonal-pyramidal (TP) and square-planar (SP) structures of PH_5 were optimized with various basis sets (Table I). Optimizations with 3-21G(*) and 6-31G* give quite similar geometries, whereas basis sets lacking d functions on phosphorus (such as 3-21G and 6-31G) result in longer $P-H_e$ and $P-H_a$ distances. As is well-known, the use of basis sets supplemented

with d functions is necessary to obtain satisfactory geometries on second-row molecules, but correlation effects are minimal.

The general conclusion from many studies of phosphorus compounds^{15,24} is that d functions serve as polarization functions. On the basis of NBO analysis, a simpler picture can be drawn of the role of d orbitals in PH₅. For the TP structure, the natural charges on P, H_a, and H_e are 0.64, -0.23, and -0.06, respectively (Table VI). The phosphorus inner shell 1s, 2s, and 2p natural atomic orbitals (NAO) are fully occupied, but 3s, 3p, and 3d have occupation numbers of 1.20, 3.08, and 0.08, respectively. The 3d (mainly $3d_{x^2-v^2}$ and $3d_{z^2}$) occupancy corresponds to only 1.8% of the total phosphorus valence electrons. This is much less than the 20% required by the conventional sp³d hybridization model. The natural localized molecular orbitals (NLMOs) show the P-H_a bond to be polarized toward hydrogen (59% on H; 39% on P). The d function participation is only 3.3% in the P-H_a bond and 1.7% in the P-H_e bond. Hence, the sp³d hybridization model does not apply. Actually, the P-H_a antibond consists mostly of phosphorus d orbitals. From second-order perturbation theory (NBO analysis of the Fock matrix), the charge delocalization from $P-H_e$ to this $P-H_a$ antibond contributes 35 kcal mol⁻¹ to the total energy. The d orbital in PH, acts as an acceptor to allow the negatively charged hydrogens to donate electrons to the positively charged phosphorus. This is equivalent to saying that the d function has a polarization effect on phosphorus. In the C_{4v} PH₅ structure, d orbitals play the same role. The difference is that the negative charge is present largely on the four basal hydrogens rather than on the apical hydrogen.

Frequency calculations show that the D_{3h} PH₅ structure is the energy minimum and the C_{4v} structure is the transition structure corresponding to the energy maximum in the Berry pseudorotation process.⁷ At various basis set levels, namely 6-31G*, 6-31G**, 6-31G(2d,p) (with two sets of d orbitals on phosphorus), and 6-31G(2df,p) (with an additional f set on P), the energy difference between the two structures is almost constant at about 2.8 kcal mol⁻¹. The electron correlation correction reduces the difference. The MP4/6-31G*+ZPE relative energy (1.1 kcal mol⁻¹) is similar to that of full CI calculations (1.2 kcal mol⁻¹).^{6b} These results calibrate the MP4SDTQ/6-31G*//6-31G* + ZPE theoretical level used in this work. Optimization at the MP2 level gave little change in geometry.

Note that the pentacoordinated phosphorane is thermodynamically unstable relative to phosphine and hydrogen. The TP structure of PH₅ is about 58 kcal mol⁻¹ higher in energy than PH₃ + H₂ (Table I). However, the barrier for this decomposition should be about 36 kcal mol^{-1,6b} Hence, PH₅ may be an observable metastable species.

 PH_4X (X = Li, Na, BeH, and MgH). Electropositive substituents often produce unusual behavior. For example, lithium and sodium reduce the planar-tetrahedral energy difference in CH₃-X derivatives significantly.³⁷ To our knowledge, there is no prior ab initio theoretical investigation on phosphorus substituted by the electropositive elements of the first and second rows. For acyclic and monocyclic five-coordinate phosphoranes, it is generally believed that the TP conformer is lower in energy compared to SP. We now inquire whether this generalization extends to electropositive ligands.

We find in fact that for PH_4X (X = BeH, Na, and MgH), the SP (s) and TP (a) conformations are energy minima with s being much more stable than a. For PH₄Li the s conformation is the only minimum, and the $a(C_{3v})$ structure is a second-order saddle point (Table II). At MP4/6-31G*//6-31G* + ZPE, the greater stabilities (in kcal mol⁻¹) of s over a conformers are Li, -28.4; Na, -23.4; BeH, -22.8; and MgH, -24.8. These SP structures are the first acyclic phosphoranes which are more stable as the square-pyramidal conformer. The XPH angles in these SP structures range from 101.3° to 102.9°, close to the value of 100.5° at 6-31G* in C_{4v} PH₅. Note that five point charges on the surface of a sphere in a C_{4v} geometry results in a least repulsion angle of 104.1°.38 It is also noteworthy that the P-M bond length in SP is considerably shorter than that in TP. Experimentally, there is a proposed example of the 3s type of structure (1) obtained by the reaction of the H-spirophosphorane (II, SP structure) with *tert*-butyllithium.³⁹



An analysis of the TP and SP HOMO's is instructive. The TP HOMO involves a nonbonding or slightly antibonding interaction between phosphorus and the apical ligands, with the larger coefficient associated with the apical ligands.²⁴ Thus, the electropositive ligand on the apical position raises the HOMO energy and makes the TP conformation unstable. On the other hand, the HOMO in SP comprises four basal ligands with two nodes, and there is almost no participation by the axial ligand. The electronegativity of the ligand at the axial position does not influence the HOMO. The natural charges on P, Li, H_a , and H_e are 0.24, 0.34, -0.25, and -0.04, respectively, in 3a. The two positively charged centers P and Li raise the energy and make the TP structure unstable. Note that the charge on lithium is rather low and that the P-Li bond length in this structure, 2.80 Å, is rather long. The low charge on lithium was confirmed by an integrated projected electron density analysis. This result not only suggests that this structure has an important H₄P[•]Li[•] character that results from the weakened P-Li bond but also implies that a single configuration Hartree-Fock approach may not suffice for this species. The qualitative result, however, is undoubtedly still sound. For the SP structure 3s, the phosphorus is negatively charged (-0.25) and the lithium is more typically positively charged (0.89). This structure can be considered as a lithium-phosphoranide ion pair. These results imply that the

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(38) Zemann, J. Z. Anorg. Allg. Chem. 1963, 324, 241.
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relatively small conformational change from SP to TP is accompanied by profound changes in electronic structure.

There are no stationary points corresponding to the equatorially substituted structures. Optimizations starting from a C_{2n} equatorial structure ended with the SP structure. The structures in Table VII were only partially optimized by setting two XPH_a angles at 90°. In the e conformation, the P-M bond is longer than that in SP but much shorter than that in apically substituted TP. The charge distribution is similar to the s conformation. As a rough comparison, Li₃P and Na₃P have been shown to have structures of the Na₃As type. In crystals of these compounds the P atoms are surrounded by five metal atoms in a trigonal-bipyramidal configuration. The P-Na bond length at the equatorial position in Na₃P is 2.88 Å, which is not too much longer than the value of 2.70 Å in 11e. The P-Na bond length at the axial position in Na₃P is 2.93 Å, which is much shorter than the 3.27 Å in $11a.^{40}$ Relative energies show that PH_4X_e is about 20 kcal mol⁻¹ more stable than PH_4X_a . Therefore, if ring structures block the formation of the SP conformation, the equatorial structure would be the preferred conformation.

A good example has been provided by Ross and Martin.⁴¹ They reported a stable monocyclic triarylalkoxyphosphorane and a monocyclic phosphoranide anion. The slowness of the proton exchange between III and IV clearly indicates the equatorial preference of the P-Li bond over the apical position.



Some bicyclic phosphoranes with Li cation in the equatorial position have been reported.⁴² Most recently, the X-ray crystal structure was reported^{42c} of THF-solvated lithium cyclenphosphoranide, $[Li(THF)cyclenP]_x$, via deprotonation of the PH group of the cyclen V with butyllithium. This is the first X-ray structural determination of a deprotonated phosphoranide. Because of the ring structure in the ligands, this Li phosphoranide cannot adopt an SP structure. The lithium compound has a polymeric structure in which each lithium is coordinated to two apical nitrogens in different cyclen moieties rather than to phosphorus. The equatorial N-P-N angle in the phosphoranide is considerably smaller than that in the neutral compound, indicating the trend toward the SP structure. From the discussion above, it can be expected that for a monomeric phosphoranide salt that is free from ring strain the SP structure would be the favored conformation.



 PH_4BH_2 and PH_4AIH_2 . There are several conformations to be considered for PH_4X in which $X = YH_2$. For $X = BH_2$ and AlH₂ the substituent groups are planar (or nearly planar) but can have several types of orientation.

 PH_4BH_2 is found computationally to be a flexible species. The apical structure $5a_{\parallel}$ with a planar BH₂ lying in the C_s plane of

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⁽⁴¹⁾ Ross, M. R.; Martin, J. C. J. Am. Chem. Soc. 1981, 103, 1234.
(42) (a) Granoth, I.; Martin, J. C. J. Am. Chem. Soc. 1979, 101, 4623.
(b) Trippett, S.; Whittle, P. J. J. Chem. Soc., Perkin Trans. J 1975, 1220. (c) Lattman, M.; Olmstead, M. M.; Power, P. P.; Rankin, W. H.; Robertson, H. E. Inorg. Chem. 1988, 27, 3012.

the PH₄ group (||) has nearly the same energy as $5a_{\perp}$ in which the essentially planar BH₂ is rotated 90° with respect to the position in $\mathbf{5a}_{\parallel}$. The structure $\mathbf{5a}_{\parallel}$ is a transition state with an imaginary frequency of 73.7 i cm⁻¹. The structure $5a_{\perp}$ is an energy minimum. The equatorial structure $5e_{\parallel}$, 13.0 kcal mol⁻¹ more stable than $5a_{\perp}$, is the lowest energy stationary point on the PH_4BH_2 potential surface. In 5e₁, BH_2 lies in the equatorial plane. The optimal H_aPB angle is 91.06° and is slightly greater than 90°. If the planar BH₂ is rotated by 90° and the angle H_aPB is kept at 90° during the optimization, the resulting structure $5e_{\perp}$ is 7.2 kcal mol⁻¹ less stable than $5e_{\parallel}$ at our highest level (Table IV). The optimization of this equatorial structure with only C_s symmetry results in a $(PH_3)(BH_3)$ complex with staggered C_{3v} symmetry that is more stable than $5e_{\parallel}$ by 65.6 kcal mol⁻¹ at 6-31G*. This complex is simply a Lewis acid-base complex between BH₃ and PH₃ and is not a phosphorane.



Y = B, Al, N, P

The π conjugation effect in the 5e₁ conformation has already been rationalized by McDowell and Streitwieser.²⁴ On the basis of NBO analysis, the apical hydrogen in $5e_{\parallel}$ has a charge of -0.27. The π effect comes from the electron delocalization of the P-H_e σ bond to antibonding P–B. Second-order perturbation theory estimates this charge donation to be about 16.9 kcal mol⁻¹. The larger H_aPB angle favors this charge donation. This kind of charge transfer can also be regarded as an anomeric effect. It has been demonstrated that the anomeric effect is strongest at C, N, P, and S centers.43

The SP structure 5s is only 3.5 kcal mol⁻¹ higher in energy than $5e_{\parallel}$. The P-B bond length in PH₄BH₂ changes but little among the various isomers. No B-P bond length data have been reported for pentacoordinate phosphoranes. In the recently published⁴⁴ structure of P2B4Cl4, VI, P assumes an inverted tetracoordinated geometry with a B-P bond length of 2.0 Å.



 PH_4AlH_2 behaves quite similarly to PH_4BH_2 . The equatorial isomer, 13e, is the global minimum. The next most favorable structure, the SP conformation, 13s, is only 3.3 kcal mol⁻¹ less stable than $13e_{\parallel}$, but 13s is a transition state as is the apically substituted 13a, which has the highest energy. No corresponding

Table VI. Natural Charges^a of PH₄X

| | uturur er | 141.Beg 01.1 | | | |
|-----------------|------------------|--------------|-----------------|--------|---------|
| X | no. | Р | YH _n | Ha | H. |
| н | 1a | 0.64 | | -0.23 | -0.06 |
| | 1s | 0.61 | | -0.01 | -0.15 |
| Li | 3a | 0.24 | 0.34 | -0.25 | -0.04 |
| | 3e | -0.14 | 0.84 | -0.31 | -0.04 |
| | 3 s | -0.25 | 0.89 | | -0.16 |
| BH2 | 5e ₁₁ | 0.53 | 0.08 | -0.27 | -0.04 |
| CH3 | 6a | 0.84 | -0.41 | -0.22 | -0.00 |
| NH_2 | 7 e ⊥ | 1.07 | 0.44 | -0.22 | -0.00 |
| | 7e | 1.08 | 0.47 | -0.22 | -0.00 |
| PH ₂ | 15e _⊥ | 0.60 | -0.13 | -0.17 | -0.06 |
| | 15e'' | 0.55 | -0.00 | -0.23 | -0.05' |
| | | | | | -0.04″ |
| ОН | 8a | 1.04 | -0.64 | -0.21 | -0.07'' |
| | | | | | -0.05' |
| | 8e⊥ | 1.13 | -0.56 | -0.22' | -0.09 |
| | | | | -0.16" | |
| SH | 16a | 0.66 | -0.48 | -0.20 | 0.01″ |
| | | | | | 0.00′ |
| | 16e⊥ | 0.68 | -0.23 | -0.17' | -0.06 |
| | | | | -0.17" | |
| F | 9a | 1.07 | -0.70 | -0.21 | -0.05 |
| | 9e | 1.13 | -0.65 | -0.15 | -0.09 |
| Cl | 17a | 0.73 | -0.76 | -0.11 | 0.04 |
| | 17e | 0.73 | -0.93 | 0.10 | 0.00 |

^aNatural charges were calculated at 6-31G*//6-31G*. See text for the corresponding structures. Primes and double primes refer to nonequivalent hydrogens as indicated in the structural figures.

a stationary point was found for PH4AlH2. PH4BH2 and PH₄AlH₂ are the "turning points" for the first- and second-row series, respectively. When more electronegative groups are present, the TP structures are more stable than the SP isomers.

 PH_4CH_3 and PH_4SiH_3 . The geometries of PH_4CH_3 and PH₄SiH₃ are summarized in Table VIII. Three conformations (a, e, and s) were calculated.





Both the apical and equatorial species are minima. For **6a** and 14a, YH₃ is staggered with resect to $P(H_e)_3$. For 6e and 14e, YH₃ is also staggered with respect to $P(H_e)_2$. The P-C bond length (1.847 Å) in **6e** is longer than in CH_3PF_4 (1.780 Å),^{45a} and $(CH_3)_2PF_3$ (1.798 Å)^{45a} but close to that in $(CH_3)_3F_2$ (1.813 Å).^{45b} At our highest level the apicophilicity of the CH₃ group is only -0.9 kcal mol⁻¹. Thus, the CH₃ group in PH₄CH₃ has relatively little preference for either position. The apicophilicity of -7.0 kcal mol⁻¹ given by Holmes²³ may be due to the steric interactions and ring strain in more highly substituted phosphorus. In contrast to CH₃, the SiH₃ group is quite apicophobic; the A value is -8.6kcal mol⁻¹ at our highest level. The 6s and 14s structures are both second-order saddle points; 14s is almost as stable as 14e and 6s is only 3.2 kcal mol⁻¹ higher than **6a**. The P-C bond length (1.832 Å) in 6s is quite close to that in a number of spirocyclic phosphoranes (SP). For example, in an adamantane-substituted spirocyclic phosphorane, the P-C bond length is 1.836 Å.⁴⁶

 PH_4NH_2 and PH_4PH_2 . The geometries of the PH_4NH_2 isomers are listed in Table IX. In addition to the conformations considered for PH_4BH_2 and PH_4AlH_2 , in which the substituents are planar, we must now also consider conformations with pyramidal groups.

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Chem. Ber. 1979, 112, 1464.

In the apical position, the pyramidal NH_2 structure 7a' is 1.3 kcal mol⁻¹ more stable than the planar NH₂ structure $7a_{\parallel}$. Note that the pyramidal NH₂, as expected, is staggered with respect to an equatorial H. In the equatorial position the structure $7e_{\perp}$, in which NH₂ is planar and the nitrogen lone pair is in the equatorial plane, is the global minimum, probably because of π -bonding effects. With this isomer, the NH₂ apicophilicity is -7.2 kcal mol⁻¹. Holmes' apicophilicity of NH₂ was -6.8 kcal mol⁻¹ when the π -bonding effect of NH₂ in the equatorial position was included.²³ Structure $7e_{\parallel}$, with a planar NH_2 lying in the equatorial plane, is 24.6 kcal mol⁻¹ higher in energy than $7e_{\perp}$. This result is essentially the same as Veillard's value (25 kcal mol^{-1}).¹³ If the NH₂ in this position is allowed to adopt a pyramidal conformation (7e'), the energy is still 18.1 kcal mol⁻¹ higher than that of $7e_{\perp}$. Note in this structure that the NH₂ group is staggered with respect to an H_a rather than a farther H_e . A SP structure 7s with C_{2v} symmetry can be located at 3-21G(*)but at 6-31G* it converts to structure $7e_{\perp}$.

Although the bonding in PH₄NH₂ has been discussed by many authors, 11,13,24 NBO analysis provides a simple interpretation for the π -bonding effect in equatorial PH₄NH₂. Consider the two $7e_{\perp}$ and $7e_{\parallel}$ conformers. In $7e_{\perp}$, the lone pair on the nitrogen delocalizes its electron to $\sigma^*(P-H_e)$. The second-order perturbation energy contribution corresponding to this hyperconjugation is 15.2 kcal mol⁻¹. In $7e_{\parallel}$, the nitrogen lone pair delocalizes to $\sigma_{(P-Ha)^*}$. The $n \rightarrow \sigma_{(P-Ha)^*}$ interaction is much less, only 3.3 kcal mol⁻¹ from NBO analysis. The total hyperconjugation energy difference between $7e_{\perp}$ and $7e_{\parallel}$ (15.4 × 2-3.3 × 2 = 24.2 kcal mol^{-1}) is in excellent agreement with the relative energy (24.6 kcal mol⁻¹) at 6-31G*. Corresponding to the anomeric effect, the P-N bond length is much shorter in $7e_{\perp}$ (1.675 Å) than in $7e_{\parallel}$ (1.713 Å). Moreover, if the NBO's of $\sigma_{(P-He)^*}$ and $\sigma_{(P-Ha)^*}$ are deleted from the basis sets in the calculations, $7e_{\perp}$ and $7e_{\parallel}$ become degenerate. In other words, the rotation barrier of $P-NH_2$ disappears after deleting the $\sigma_{(P-He)^*}$ and $\sigma_{(P-Ha)^*}$. Therefore, the π -effect mainly comes from the anomeric effect in which the lone pair of the substituent interacts with the P–H antibonding σ^* (n **→** σ*).

In contrast to the π -bonding effect of an NH₂ group, a PH₂ group strongly prefers the pyramidal conformation. In the apical position, the planar PH₂ structure 15_{\parallel} is a transition state 23.1 kcal mol⁻¹ less stable than the pyramidal 15a' (analogous to 7a'), a local minimum. In the equatorial position, the lowest energy conformation is 15e'' with a pyramidal PH₂. None of the other equatorial stationary points is a local minimum. The alternative structure 15e' in which the PH₂ group is staggered with respect to H_a is the transition state for rotation of pyramidal PH₂ group in the equatorial position. The 4.0 kcal mol⁻¹ rotation barrier is much lower than the NH₂ rotation. In the two planar equatorial conformations (C_{2v}) , the PH₂ plane is coplanar with the equatorial plane in $15e_{\parallel}$ and orthogonal to the equatorial plane in $15e_{\perp}$. These conformers, $15e_{\parallel}$ and $15e_{\perp}$, are 40.6 and 20.3 kcal mol⁻¹, respectively, less stable than 15e''. The 20.3 kcal mol⁻¹ energy difference between $15e_{\parallel}$ and $15e_{\perp}$ does demonstrate π -bonding participation in the $15e_{\perp}$ conformer that is only little less than that in PH_4NH_2 case. The substituted SP structure 15s corresponds to a stationary point at 3-21G(*) but not at $6-31G^*$.

Structure VII is the first X-ray structure of a phosphorane with a phosphorus substituent that is not part of a ring system.⁴⁶ In VII the Ph_2P group is twisted so that the phosphorus lone pair is directed between the apical and equatorial orientations of the

phosphorane moiety and does not correspond to either 15e' or 15e''. Unfortunately, the spiro four-membered rings introduce such distortion that VII is not a good model system for unstrained phosphoranes. Nevertheless, VII does show that the phosphino group is pyramidal, and the observed P-P bond length of 2.214 Å is close to our calculated value of 2.211 Å in 15e''.



PH₄OH and PH₄SH. Four possible conformations investigated are as follows:



In the equatorial conformations the PYH plane can be either perpendicular (\perp) or coplanar (||) with respect to the equatorial plane. Conformations 8a and 16a have H-Y staggered with respect to H_ePH_e . The a and e_{\perp} structures were fully characterized by frequency calculations and are minima on the PES. At our highest level, the apicophilicities of OH and SH are 0.4 and -0.1 kcal mol⁻¹, respectively. Thus, if ring strain and steric effects are omitted, OH and SH groups have little positional preference. The empirical OH apicophilicity value of Holmes,²³ 1.3 kcal mol⁻¹, is slightly higher than our value of 0.4. Note the importance in these cases of using a polarized basis set and including some electron correlation. For example, at the SCF level, the apicophilicity of SH is 3.1 kcal mol⁻¹ at 3-21G(*) and 3.0 kcal mol⁻¹ at 6-31G*. Electron correlation changes the sign of the apicophilicity. At MP4SDTQ/6-31G*, the value is -0.7 kcal mol⁻¹. After the ZPE correction, the final value is -0.1 kcal mol⁻¹.

The other conformations are stationary points that are not minima on the PES. Rotating the H-Y group by 90° results in conformations $8e_{\parallel}$ and $16e_{\parallel}$, which are 11.2 and 8.9 kcal mol⁻¹ higher in energy than $8e_{\perp}$ and $16e_{\perp}$, respectively. The bond angles at oxygen and at sulfur in PH₄OH and PH₄SH are instructive. The POH angles are 114.4° in 8a, 112.0° in $8e_{\perp}$, and 115.8° in 83_{\parallel} ; the oxygen is approximately sp³ hybridized. On the other hand, the PSH angle is 100.4° in 16a, 99.5° in 16e_{\perp}, and 94.3° in 16e_{\parallel}; the sulfur bonds, as expected, have much greater p character. Again, the rotation barrier arises from the hyperconjugation of the Y lone pairs with the P-H antibonding σ^* . The OH substituent exhibits more anomeric effect than the SH substituent. For example, in $8e_{\perp}$ the n $\rightarrow \sigma^*$ interaction corresponds to 13.9 kcal mol⁻¹, whereas in $16e_{\perp}$ the value decreases to 10.1 kcal mol⁻¹.

The conformational preferences of $8e_{\perp}$ and $16e_{\perp}$ over $8e_{\parallel}$ and 16e have important chemical significance. The "ring strain" rule states: "Four- or five-membered cyclic systems preferentially span axial-equatorial position".²² Certainly, ring strain is one of the factors. But for oxygen- and sulfur-containing rings, the \perp rather than the || conformational preference of an equatorial P-O-R or P-S-R bond is another important factor responsible for the axial-equatorial conformation. This can be accounted for as follows. Strain-free OH and SH ligands have little positional preference. But in a small-membered diequatorial ring, the OR and SR groups have to assume unfavorable conformations in which the OR or SR rings are coplanar with the equatorial plane. This effect contributes as instability of about 11 kcal mol⁻¹ for each oxygen and about 9 kcal mol⁻¹ for each sulfur. Moreover, it has been shown that six- or larger-membered rings also prefer axialequatorial conformations.49 This conformational preference effect

Table VII. Optimized HF/6-31G* Geometries^a of PH₄X (X = Li, Na, BeH, MgH, F, and Cl)

| X | no. | sym | P-X | P-H _a | P-H _e | YPHa | YPHe | Ү-Н | |
|-----|------------|-------------------|-------|------------------|------------------|--------------------|--------|-------|--|
| Li | 3a | C_{3v} | 2.802 | 1.477 | 1.419 | | 92.60 | | |
| | 3e | C_{2v} | 2.386 | 1.553 | 1.398 | 90.00 ^b | 119.96 | | |
| | 3s | C_{4v} | 2.376 | | 1.457 | | 102.72 | | |
| Na | 11a | C_{3v} | 3.274 | 1.461 | 1.397 | | 89.19 | | |
| | 11e | C_{2v} | 2.704 | 1.555 | 1.398 | 90.00 ^b | 121.78 | | |
| | 11s | C_{4n}^{∞} | 2.677 | | 1.458 | | 102.88 | | |
| BeH | 4 a | C_{3v} | 2.252 | 1.481 | 1.424 | | 92.69 | 1.347 | |
| | 4e | C_{2v} | 2.103 | 1.498 | 1.407 | 90.00 ^b | 115.52 | 1.330 | |
| | 4 s | C_{4v} | 2.090 | | 1.448 | | 101.27 | 1.329 | |
| MgH | 12a | C_{3v} | 2.862 | 1.478 | 1.404 | | 91.48 | 1.759 | |
| - | 12e | C_{2v} | 2.508 | 1.502 | 1.408 | 90.00 ^b | 115.46 | 1.714 | |
| | 12s | C_{4v} | 2.481 | | 1.450 | | 101.46 | 1.710 | |
| F | 9a | C_{3v} | 1.657 | 1.440 | 1.386 | | 89.31 | | |
| | 9e | C_{2v} | 1.623 | 1.414 | 1.403 | 84.54 | 128.44 | | |
| | 9s | C_{4v} | 1.565 | | 1.431 | | 101.35 | | |
| Cl | 17a | C_w | 2.495 | 1.412 | 1.373 | | 81.56 | | |
| | 17e | C_{2v} | 2.975 | 1.369 | 1.385 | 58.91 | 128.09 | | |
| | 17s | C_{4v} | 2.024 | | 1.432 | | 100.51 | | |

^a Bond lengths in Å, angles in deg. ^bAngles fixed for optimization.

Table VIII. Optimized HF/6-31G* Geometries^a of PH₄X (X = CH₃, SiH₃, OH, and SH)

| x | no. | sym | P-Y | P-H _a | P-H _e | YPHa | YPHe | θ | H _e PH _e |
|------------------|---------------|----------------|-------|------------------|------------------|--------|---------|--------|--------------------------------|
| CH3 | 6a | C_{3v} | 1.904 | 1.464 | 1.409 | | 91.13 | | |
| | 6e | C_s | 1.847 | 1.473' | 1.405 | 88.93' | | 91.08″ | 118.97 |
| | | | | 1.468″ | | 92.13" | | | |
| | 6 s | C_s | 1.832 | | 1.442' | | 102.97' | | 87.42' |
| | | - | | | 1.442' | | 100.83" | | 87.74″ |
| SiH ₃ | 14a | C_{3v} | 2.407 | 1.462 | 1.409 | | 90.52 | | |
| | 14e | C_s | 2.275 | 1.483′ | 1.410 | 95.29' | | 91.67″ | 121.98 |
| | | | | 1.473'' | | 89.54″ | | | |
| | 14s | C_s | 2.265 | | 1.443' | | 102.45 | | 88.03' |
| | | | | | 1.444‴ | | 99.32″ | | 87.86″ |
| ОН | 8a | C, | 1.704 | 1.450 | 1.389′ | | 89.41' | 89.00 | 116.21" |
| | | | | | 1.395″ | | 92.74″ | | |
| | 8e⊥ | C_s | 1.644 | 1.446′ | 1.403 | 87.75′ | | 89.98′ | 104.76 |
| | | | | 1.421″ | | 85.05" | | | |
| | 8e | C _s | 1.665 | 1.431 | 1.410' | 87.55 | 130.94′ | | |
| | | | | | 1.403″ | | 122.91″ | | |
| | 8 s | C_s | 1.614 | | 1.442' | | 102.84′ | | 87.03' |
| | | | | | 1.430″ | | 101.44″ | | 88.23" |
| SH | 16a | C, | 2.389 | 1.435 | 1.384′ | | 83.01' | 84.00 | 117.87" |
| | | | | | 1.382" | | 98.69″ | | |
| | $16e_{\perp}$ | C_s | 2.152 | 1.436′ | 1.403 | 88.67′ | | 91.56′ | 107.58 |
| | | | | 1.435'' | | 84.14" | | | |
| | 16e | C_s | 2.242 | 1.425 | 1.410′ | 86.83 | 131.71' | | |
| | ,, | | | | 1.404″ | | 119.25" | | |
| | 16s | C_s | 2.105 | | 1.438′ | | 101.55' | | 88.10' |
| | | - | | | 1.435″ | | 100.25" | | 88.25″ |

^a Bond lengths in Å, angles in deg. See text for the corresponding structures. θ is the dihedral angle of H_ePYH_a. Primes and double primes refer to nonequivalent hydrogens as indicated in the structural figures.

at the equatorial position must be more important than the ring strain in such cases.

PH₄F and PH₄Cl. The equatorially substituted structures 9e and 17e are not minima on the PES but are transition states between the two equivalent apically substituted isomers. The P-F bond (1.623 Å) in 9e is much longer than that in CH_3PF_4 (1.543 Å) or in HPF₄ (1.55 Å).⁵⁰ In 17e, P and Cl have natural charges of +0.73 and -0.93, respectively. This structure is better considered as a $PH_4^+Cl^-$, phosphonium chloride edge-associated ion pair, isomer even though it is not an energy minimum. The PES surface is rather flat with respect to the P-Cl bond distance, and its character is sensitive to the basis set level. At the Hartree-Fock level, the standard 6-31G* basis set gives a single minimum corresponding to a phosphonium chloride structure. A comparable

computation with two sets of d orbitals on phosphorus (exponents of 0.22 and 0.77 compared to the single exponent of 0.55 in 6-31G*) gave a second minimum corresponding to a phosphorane structure.

The apically substituted structures 9a and 17a are energy minima with bond lengths similar to experimental values. Note that P has a higher natural charge in 9a (+1.07) than in 17a (+0.73). Structures 9s and 17s are transition states, much higher in energy than 9a and 17a, respectively. The apicophilicities of F and Cl have been calculated, compared, and discussed based on PH₄X structures,^{20,24} but such treatments are clearly not appropriate because of the high degree of ion pair character in the equatorial conformers, PH₄F (9e) and PH₄Cl (17e). Experimentally, the evidence suggests that both ionic and phosphorane forms can exist for chlorophosphoranes and fluorophosphoranes.⁵¹ For example, tetraphenylfluorophosphorane exists as an ionic

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Table IX. Optimized HF/6-31G* Geometries^a of PH_4X (X = BH₂, AlH₂, NH₂, and PH₂)

| x | no. | sym | P-Y | P-H _a | P-H _e | YPHa | YPH _e | H _e PH _e | H _e PH _a |
|-----------------|-------------------|------------|-------|------------------|------------------|--------|------------------|--------------------------------|--------------------------------|
| BH ₂ | 5a_ | C_s | 2.020 | 1.472 | 1.437' | | 88.85' | 118.44" | 88.03' |
| | | | | | 1.418″ | | 93.19" | | |
| | 5e | C_{2v} | 1.923 | 1.501 | 1.401 | 91.06 | 119.28 | | |
| | 5 s | C_{2v} | 1.947 | | 1.445 | | 101.25 | | |
| | 5a | C_s | 2.021 | 1.472 | 1.416′ | | 94.22' | 120.39" | 88.45' |
| | | | | | 1.425″ | | 90.46″ | | |
| AlH, | 13a ₁₁ | C_s | 2.553 | 1.468 | 1.420' | | 91.45′ | 118.93" | 88.04′ |
| - | ,, | | | | 1.414″ | | 91.84" | | |
| | 13e | C_{2v} | 2.362 | 1.475 | 1.424 | 96.98 | 116.75 | | |
| | 13s | C_{2n} | 2.365 | | 1.447 | | 101.11 | | |
| NH_2 | 7 a ′ | C_s | 1.779 | 1.459 | 1.405' | | 94.25' | 123.49 | 85.75 |
| 7 | | - | | | 1.396" | | 89.60" | | |
| | 7 a . | C_s | 1.757 | 1.463 | 1.403' | | 87.10′ | 118.23" | 88.48' |
| | | | | | 1.396" | | 94.06″ | | |
| | 7e | C_{2v} | 1.675 | 1.449 | 1.405 | 87.44 | 121.82 | | |
| | 7e | C_{2v} | 1.713 | 1.448 | 1.441 | 91.23 | 120.64 | | |
| | 7e ⁷ | <i>C</i> , | 1.752 | 1.464′ | 1.407 | 89.12' | | 111.63 | |
| | | Ū. | | 1.436" | | 90.25" | | | |
| PH, | 15a' | С, | 2.391 | 1.452 | 1.398' | | 91.12' | 120.55" | 90.71′ |
| - | | • | | | 1.397" | | 90.25" | | |
| | 15a | С, | 2.700 | 1.414 | 1.373' | | 77.00′ | 120.84" | |
| | | | | | 1.371″ | | 83.15" | | |
| | 15e | C_{2n} | 2.165 | 1.440 | 1.405 | 86.07 | 121.70 | | |
| | 15e | C_{2v} | 2.336 | 1.416 | 1.410 | 87.21 | 121.26 | | |
| | 15e″ | <i>C</i> . | 2.221 | 1.464 | 1.405' | 89.13 | 119.68′ | | |
| | | , | | | 1.402" | | 125.93" | | |
| | 15e' | С, | 2.261 | 1.460′ | 1.408 | 90.08′ | | 119.46 | |
| | | • | | 1.456″ | | 90.04″ | | | |

^a Bond lengths in Å, angles in deg. See text for the corresponding structures. Primes and double primes refer to nonequivalent hydrogens as indicated in the structural figures.



Figure 1. Correlation of energy differences between SP and TP a conformations and electronegativities.

monomer, as an apically substituted molecular monomer, and as a dimer. 52 .

The HF structure of 17a depends somewhat on the basis set used. A change in the phosphorus d exponent in $6-31G^*$ from that normally used, 0.55 to 0.47, results in a shortening of the P-Cl bond to 2.459 Å. The use of two sets of d orbitals as above produced a further shortening to 2.422 Å with only small changes in the P-H bonds. Analysis of the molecular orbitals show small increases in the contributions of the more diffuse d functions to the HOMO and to the antibonding π -molecular orbitals; the smaller d exponents promote polarization of electron density in the PH₄ group away from the negative chlorine.

Relative Energy Correlation. The electronegativity rule says that the most electronegative groups prefer apical locations.²¹ Holmes's apicophilicities do indeed vary almost linearly with electronegativity.²³ As discussed above, inductive effects dominate in the **a** and **s** conformations. Hence, the energy differences between **s** and **a** conformations should correlate with the electronegativities, and Figure 1 shows this to be the case. Note,



Figure 2. Correlation of energy differences between TP a and e conformations (apicophilicities) and electronegativities.

however, that first- and second-row groups show different correlation lines.

Apicophilicities, the energy difference between a and e conformations, of second-row groups also correlate linearly with electronegativities (Figure 2). Since the equatorial SH group follows this correlation, π -effects must be negligible. Indeed, the effects of the second-row groups in both a and e conformations are dominated by inductive effects. In contrast, many of the apicophilicities of the first-row groups do not correlate with electronegativities. In Figure 2, the lower points for NH₂ and OH are far from the correlation line. However, the inductive and π -contributions to apicophilicity can be dissected. The π -effect can be largely eliminated by a 90° rotation of the NH₂ and OH substituents. In this way, the inductive apicophilicity contributions are 10.9 kcal mol⁻¹ for NH₂ (from 7e') and 11.6 kcal mol⁻¹ for OH (from $8e_{\parallel}$). The resulting inductive apicophilicity does correlate linearly with electronegativities (Figure 2, upper points). Note that BH₂ also falls off the line from Figure 2. From the deviation, the inductive apicophilicity and the π -conjugation energy of BH₂ are deduced to be -11.2 and 4.1 kcal mol⁻¹, respectively. However, based on the relative energy of $5e_{\perp}$, the inductive apicophilicity is indicated to be only -5.8 kcal mol⁻¹. This discrepancy is probably due to the only partial optimization of structure $5e_{\perp}$ (the H_ePB angle was fixed at 90°) which raises its energy.

Conclusions

The relative energies, calculated at MP4SDTQ/6-31G*+ZPE, for both TP and SP conformations reveal inherent substitution effects. Some of the energy differences are quite close to empirical apicophilicity values or experimental energy differences of more highly substituted compounds. The SP structures for PH₄X (X = Li, Na, BeH, and MgH) are the most stable conformations. The apicophilicities of CH₃, OH, and SH are small. The preferential Y-H orientation in equatorial PH₄OH and PH₄SH is orthogonal to the equatorial plane. This preference is an important factor in determining the conformations of cyclic phosphorane systems. Our apicophilicity scale (in kcal mol⁻¹) is OH (0.4) > SH (-0.1) > CH₃ (-0.9) > PH₂ (-3.3) > NH₂ (-7.2) > SiH₃ (-8.6). PH₄F and PH₄Cl are not suitable for apicophilicity evaluation because of their ionic character.

Substituent effects on pentacoordinated phosphoranes can be divided into inductive (or σ) and π -bonding effects. Inductive effects dominate in the SP and apically substituted TP conformations, and the relative energies correlate with the electronegativities. π -Interactions play an important role in the equatorially substituted TP conformations of NH₂ and OH. After dissection and delection of these π -bonding effects, the inductive contribution remaining also correlates with electronegativity.

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Assessing Molecular Similarity from Results of ab Initio Electronic Structure Calculations

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Abstract: A new molecular similarity index, called the number of overlapping electrons (NOEL), is proposed. This similarity index can be computed very rapidly from the natural orbitals and their occupation numbers of the molecules under comparison. The low computational cost makes it possible to optimize the mutual orientation of molecules by maximizing NOEL. The magnitude of NOEL is related to the number of electrons in the molecular fragment common to both molecules. The new approach is illustrated on the examples of benzene, aniline, nitrobenzene, and 4-nitroaniline molecules and the acetate, isoxazole 3-oxide and isoxazole 5-oxide anions.

Introduction

In its early stages, chemistry has been mainly the science of comparing and classifying molecules and chemical reactions. Only in the last 50 years has it become possible to rationalize the observed properties of molecules by using the first principles of quantum mechanics. The properties that have rigorous theoretical definitions, such as energies and multipole moments, are easily amenable to theoretical approaches. On the other hand, properties of more intuitive nature, such as reactivity, aromaticity, or similarity, are more difficult to quantify.

Pharmacologists, toxicologists, and medicinal chemists find it particularly convenient to discuss and classify the physiological action of molecules by using instinctive measures of molecular similarity. For example, the taste of various substances is usually believed to be related to their molecular shape.¹ Organic chemists constantly use the concept of molecular similarity by invoking the notions of functional groups and synthons. Yet, the attempts to rigorously define the molecular similarity are quite scarce in the chemical literature. One of the obvious reasons is that certain arbitrary assumptions have to be necessarily made in order to judge the similarity of molecules from the results of quantum-mechanical calculations. The first assumption is that of molecular rigidity because calculations involve the Born-Oppenheimer approximation, which freezes the positions of the nuclei. The second assumption is of a more philosophical nature. One has to postulate that similar molecules have similar electron distributions. Third, one has to adopt a particular form of the functional which yields the numerical magnitude of the molecular similarity. As in the case of the definition of atomic charges, a plethora of choices are obviously possible; we insist, however, that any acceptable measure of the molecular similarity should conform to the following rules: (1) It should be derivable from the wave functions of the molecules in question alone. It should not depend upon either explicit or implicit assumptions about the basis sets used in calculations or the level of theory employed. (2) It should have some clearly recognizable physical or mathematical interpretation. (3) It should be computationally feasible. In particular, it should allow for optimization of the mutual orientation of the molecules in question by means of maximization of the similarity measure.

Although substantial progress have been recently achieved in quantification of the three-dimensional shape and similarity of molecules,² the only reported practical calculations involve a similarity index based on the electron density that has been put forward by Carbo, Leyda, and Arnau.³ If the molecules under comparison, A and B, have the electron densities $\rho_A(\vec{r})$ and $\rho_B(\vec{r})$, respectively, then the similarity index reads

$$R_{AB} = \int \rho_{A}(\vec{r}) \rho_{B}(\vec{r}) \, d\vec{r} / \left[\int \rho_{B}^{2}(\vec{r}) \, d\vec{r} \int \rho_{B}^{2}(\vec{r}) \, d\vec{r} \right]^{1/2}$$
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